

ANTI-MONEY LAUNDERING RISK ASSESSMENT QUESTIONNAIRE APPENDIX
防洗錢風險評估問卷附錄

Appendix 1: Corruption Perceptions Index (The rank is based on the alphabetical order.)

A			
Country	Score	Country	Score
Afghanistan	5	Albania	3
Algeria	3	Angola	5
Argentina	3	Armenia	3
Australia	1	Austria	1
Azerbaijan	5		

B			
Country	Score	Country	Score
Bahamas	1	Bahrain	1
Bangladesh	5	Barbados	1
Belarus	5	Belgium	1
Benin	3	Bhutan	1
Bolivia	3	Bosnia and Herzegovina	3
Botswana	1	Brazil	3
Bulgaria	3	Burkina Faso	3
Burundi	5		

C			
Country	Score	Country	Score
Cambodia	5	Cameroon	5
Canada	1	Cape Verde	1
Central African Republic	5	Chad	5
Chile	1	China	3
Colombia	3	Comoros	5
Congo Republic	5	Costa Rica	1
Cote d'Ivoire	3	Croatia	3
Cuba	3	Cyprus	1
Czech Republic	1		

D			
Country	Score	Country	Score
Democratic Republic of the Congo	5	Denmark	1
Djibouti	3	Dominica	1

E			
Country	Score	Country	Score
Ecuador	3	Egypt	3
El Salvador	3	Eritrea	5
Estonia	1	Ethiopia	3

F			
Country	Score	Country	Score
Finland	1	France	1

G			
Country	Score	Country	Score
Gabon	3	Gambia	5
Georgia	1	Germany	1
Ghana	3	Greece	3
Guatemala	5	Guinea	5
Guinea-Bissau	5	Guyana	5

H			
Country	Score	Country	Score
Haiti	5	Honduras	5
Hong Kong	1	Hungary	1

I			
Country	Score	Country	Score
Iceland	1	India	3
Indonesia	3	Iran	5
Iraq	5	Ireland	1
Israel	1	Italy	3

J			
Country	Score	Country	Score
Jamaica	3	Japan	1
Jordan	1		

K			
Country	Score	Country	Score
Kazakhstan	5	Kenya	5
Kosovo	3	Korea (North)	5
Korea (South)	1	Kuwait	3
Kyrgyzstan	5		

L			
Country	Score	Country	Score
Laos	5	Latvia	1
Lebanon	5	Lesotho	1
Liberia	3	Libya	5
Lithuania	1	Luxembourg	1

M			
Country	Score	Country	Score
Madagascar	5	Malaysia	1
Malawi	3	Mali	5
Malta	1	Mauritania	5
Mauritius	1	Mexico	3
Moldova	3	Mongolia	3
Montenegro	3	Morocco	3
Myanmar	5	Mozambique	5

N			
Country	Score	Country	Score
Namibia	1	Nepal	5
Netherlands	1	New Zealand	1
Nicaragua	5	Niger	3
Nigeria	5	Norway	1

O			
Country	Score	Country	Score
Oman	3		

P			
Country	Score	Country	Score
Pakistan	5	Panama	3
Papua New Guinea	5	Paraguay	5
Philippines	3	Peru	3
Puerto Rico	1	Poland	1
Portugal	1		

Q			
Country	Score	Country	Score
Qatar	1		

R			
Country	Score	Country	Score
Romania	3	Russia	5
Rwanda	3		

S			
Country	Score	Country	Score
Saint Vincent and the Grenadines	1	Samoa	1
Sao Tome and Principe	3	Saudi Arabia	3
Senegal	3	Serbia	3
Seychelles	1	Sierra Leone	5
Singapore	1	Slovenia	1
Slovakia	1	Somalia	5
South Africa	3	South Sudan	5
Spain	1	Sri Lanka	3
Sudan	5	Suriname	3
Swaziland	3	Sweden	1
Switzerland	1	Syria	5

T			
Country	Score	Country	Score
Taiwan	1	Tajikistan	5
Tanzania	5	Thailand	3
The FYR of Macedonia	3	Timor-Leste	5
Togo	5	Trinidad and Tobago	3
Tunisia	3	Turkey	3
Turkmenistan	5		

U			
Country	Score	Country	Score
Uganda	5	Ukraine	5
United Arab Emirates	1	United Kingdom	1
United States	1	Uruguay	1
Uzbekistan	5		

V			
Country	Score	Country	Score
Vanuatu	5	Vietnam	5
Venezuela	5		

Y			
Country	Score	Country	Score
Yemen	5		

Z			
Country	Score	Country	Score
Zambia	3	Zimbabwe	5

Others

Please fill in the country which is not listed above. The score will be subject to the review of Compliance Department.

The country in which the applicant is residing: _____

Risk score assigned by Compliance Department: _____

Source: 2014 Corruption Perceptions Index from Transparency International

Appendix 2: Industry indicator

Low risk (Score: 1)	
(1) Industries with regulatory authorities; or	
(2) Low cash intensive industries	
Accounting and Auditing	Accounting and Taxation
Artist and Performer	Banks
Beauty and Healthcare	Building and Construction
Charity	Decoration – Repair and Maintenance
Dispensary	Clinics and hospitals
Education	Engineering
Financial services – Securities firms	Financial services – Asset management firms
Financial services – Research	Financial services – Rating agencies
Food and Catering	Freight and Logistics
Government or governmental organization	Hotels and hostels
Information Technology	Insurance and reinsurance
Logistics	Manufacturing – Cars and Automobiles
Manufacturing – Food and beverages	Manufacturing – Clothing and apparels
Manufacturing – Toys	Manufacturing – Construction materials
Media and Advertising	Newspapers and Publishing
Nursery and Healthcare	Pharmaceutical
Property Management	Public utilities
Recruitment agency	Science, Research and Development
Shipping and Containers	Social services
Telecommunication	Tourism
Trading – Cars and Automobiles	Trading – Food and beverages
Trading – Textile and Clothing	Trading – Toys
Wholesale and retail – Cars and Automobiles	Wholesale and retail – Clothing and apparels
Wholesale and retail – Food and beverages	Wholesale and retail – Ironware and cement
Wholesale and retail – Toys	

Medium risk (Score: 3)	
On-line shopping vendors	Nightclubs
Real estate agency	Auction, trade on jewelry

High risk (Score: 5)	
(1) Industries without regulatory authorities; or	
(2) High cash intensive industries	
Casino	Money changer
Pawn shop	Remittance agent
Bullion trading	Military arms sales or trading

Others

Please fill in the industry type which is not listed above. The score will be subject to the review of Compliance Department.

The industry in which the applicant is involved: _____

Risk score assigned by Compliance Department: _____

Potential AML risk level	Range of scores
Low	10-24
Medium	25-37
High	38-48